

Site:

Program:

Staff Competency	
1. For staff performing disinfection and sterilization, does documentation include education and competence assessment of the decontamination and sterilization procedures being performed, including specific training and competence assessment for each sterilizer used?	<input type="checkbox"/> N/A
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
HR.11.04.01 EP 1 - Staff competence is initially assessed and documented as part of orientation and once every three years, or more frequently as required by hospital policy or in accordance with law and regulation.	
Corrective Action:	
Notes:	
2. When applicable, does the competence assessment process include a reliable method to ensure the ability of an employee to discern color?	<input type="checkbox"/> N/A
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
HR.11.04.01 EP 1 - Staff competence is initially assessed and documented as part of orientation and once every three years, or more frequently as required by hospital policy or in accordance with law and regulation.	
Corrective Action:	
Notes:	
3. Do staff follow hospital policies and procedures in the event of a reprocessing error or failure identified either prior to the release of the reprocessed item(s) or after the reprocessed item(s) was used or stored for later use?	<input type="checkbox"/> N/A
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
Equipment Maintenance	
4. Review the preventative maintenance records for the sterilizer(s) and equipment washers for the previous 12 months. Was preventative maintenance performed in accordance with the manufacturer’s recommendations and as defined in the equipment management plan or schedule?	<input type="checkbox"/> N/A
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____

Tracer Observation Form

Sterilization Tracer 2026

IC.04.01.01 EP 4 - The hospital's policies and procedures for cleaning, disinfection, and sterilization of reusable medical and surgical devices and equipment address the following: - Cleaning, disinfection, and sterilization of reusable medical and surgical devices in accordance with the Spaulding classification system and manufacturers' instructions - Use of disinfectants registered by the Environmental Protection Agency for noncritical devices and equipment according to the directions on the product labeling, including but not limited to indication, specified use dilution, contact time, and method of application - Use of FDA-approved liquid chemical sterilants for the processing of critical devices and high-level disinfectants for the processing of semicritical devices in accordance with FDA-cleared label and device manufacturers' instructions - Required documentation for device reprocessing cycles, including but not limited to sterilizer cycle logs, the frequency of chemical and biological testing, and the results of testing for appropriate concentration for chemicals used in high-level disinfection - Resolution of conflicts or discrepancies between a medical device manufacturer's instructions and manufacturers' instructions for automated high-level disinfection or sterilization equipment - Criteria and process for the use of immediate-use steam sterilization - Actions to take in the event of a reprocessing error or failure identified either prior to the release of the reprocessed item(s) or after the reprocessed item(s) was used or stored for later use Note 1: The Spaulding classification system classifies medical and surgical devices as critical, semicritical, or noncritical based on risk to the patient from contamination on a device and establishes the levels of germicidal activity (sterilization, high-level disinfection, intermediate-level disinfection, and low-level disinfection) to be used for the three classes of devices. Note 2: Depending on the nature of the incident, examples of actions may include quarantine of the sterilizer, recall of item(s), stakeholder notification, patient notification, surveillance, and follow-up.

Corrective Action:

Notes:

5. When review of maintenance records for a sterilizer indicates repairs were necessary, does documentation indicate a biological indicator test was performed and documented at the time the sterilizer was placed back into service? N/A

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care-associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

6. Are manufacturers' instructions and organization policy for use and disposal followed for enzymatic cleaners or detergents? N/A

Instructions: Ensure the appropriate concentrations are used. Typically these products must be discarded after each use unless otherwise directed by the manufacturers' instructions for use.

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care-associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

7. Review the biological indicator (BI) logs from the most recent 7 days. Were control indicators used, interpreted, and the interpretation results documented within the time frames for use and reading, as defined by the manufacturer? N/A

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care-associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:	
Notes:	
Pre-Cleaning	
8. Are instruments handled and transported from the point of use to the decontamination area in a manner that will prevent contamination? <input type="checkbox"/> N/A	
<i>Instructions: Example of noncompliance</i> -Staff do not wear appropriate PPE when handling -Instruments are not transported in a manner to prevent leakage -Transport containers do not include a lid to prevent airborne contamination -Transport containers do not include biohazardous material warnings.	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
9. Is appropriate personal protective equipment (PPE) used per the organization's policy when cleaning and disinfecting equipment? <input type="checkbox"/> N/A	
<i>Instructions: Ensure that the manufacturers' instructions for use of PPE for the disinfectant are being followed.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
10. Are items pre-cleaned according to manufacturers' instructions and visually inspected for residual soil prior to high level disinfection and sterilization? <input type="checkbox"/> N/A	
<i>Instructions: This includes instruments with lumens (for example, endoscopes) and pre-cleaning of devices includes all channels using cleaning brushes of appropriate size.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
11. Are disposable (single use) cleaning brushes discarded after each use? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

12. Are manufacturers' instructions followed for reusable cleaning brushes? N/A

Instructions: Manufacturers' instructions can include cleaning processes, as well as high-level disinfection or sterilization practices.

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

13. If reusable critical items are not sterilized on site, are they decontaminated prior to transportation? N/A

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

14. Is the process for rinsing and drying instruments prior to sterilization in accordance with the organization's policy and manufacturers' instructions for use? N/A

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

Sterilization Process

15. Are all reusable critical items sterilized prior to reuse, in accordance with manufacturers' instructions? N/A

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

16. After pre-cleaning, are items wrapped-packaged for sterilization in accordance with the organization's policy and manufacturers' instructions for use? <input type="checkbox"/> N/A	
<i>Instructions: Examples may include package system selected is compatible with the sterilization process being performed, hinged instruments are open, and instruments are disassembled if indicated by the manufacturer.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
17. Is the sterilization process monitored by using a combination of mechanical, chemical, and biological indicators to ensure the effectiveness of the sterilization process? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
18. Are indicators used in accordance with the sterilizer or sterilizer accessory manufacturers' instructions? <input type="checkbox"/> N/A	
<i>Instructions: Sterilizer accessories can include a pouch, casket or tray, etc.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
19. If double peel packs are used for sterilization, is this method validated by the manufacturers' instructions for use? <input type="checkbox"/> N/A	
<i>Instructions: Note: Inner pack should not be folded.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
20. If double peel packs are used for sterilization, are the instruments packaged correctly? <input type="checkbox"/> N/A	

Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
21. Are chemical indicators (process indicators) placed correctly in the instrument packs in every load? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
22. Is a biological indicator (BI) used at the frequency recommended by the manufacturers' instructions for use for each sterilizer? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
23. Is a biological indicator (BI) used with every load containing implants? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
24. For dynamic air removal-type sterilizers is an air removal test (Bowie-Dick test) performed each day the sterilizer is used to verify efficacy of air removal in accordance with manufacturers' instructions? <input type="checkbox"/> N/A	
<i>Instructions: A commonly used air removal-type sterilizer includes a prevacuum steam sterilizer.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
25. Are sterile packs labeled with the sterilizer used? <input type="checkbox"/> N/A	

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Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
26. Are sterile packs labeled with the cycle or load number? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
27. Are sterile packs labeled with the date of sterilization? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
28. Are sterile packs labeled with the expiration date, if applicable? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
29. Are logs for each sterilizer cycle current and include results from each load, in accordance with hospital policies and procedures? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.	
Corrective Action:	
Notes:	
30. Review the biological indicator (BI) logs from the most recent 7 days. Was the lot number of each BI recorded and the results of all biological indicators documented? <input type="checkbox"/> N/A	

Instructions: The results of all biological indicators should be documented within the time frame for reading, as defined by the manufacturers' instructions for use.

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

31. Is there evidence that the ultrasonic cleaning device is used in accordance with the organization's policy and manufacturers' instructions for use? N/A

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

32. If there has been a reprocessing error or failure identified either prior to the release of the reprocessed item(s) or after the reprocessed item(s) was used or stored for later, did the staff follow hospital policies and procedures? N/A

Instructions: Depending on the nature of the incident, examples of actions may include quarantine of the sterilizer, recall of item(s), stakeholder notification, patient notification, surveillance, and follow-up.

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

33. After sterilization or high-level disinfection, are items stored to maintain sterility and prevent contamination and damage? N/A

Compliant? Yes No

Num: _____ Den: _____

IC.06.01.01 EP 3 - The hospital implements activities for the surveillance, prevention, and control of health care–associated infections and other infectious diseases, including maintaining a clean and sanitary environment to avoid sources and transmission of infection, and addresses any infection control issues identified by public health authorities that could impact the hospital.

Corrective Action:

Notes:

Immediate Use Steam Sterilization (IUSS)

34. When instruments are subject to immediate-use steam sterilization (IUSS), are they used immediately and handled in a manner to prevent contamination during transport from the sterilizer to the patient? N/A

Compliant? Yes No

Num: _____ Den: _____

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LD.13.01.09 EP 6 - The hospital develops and implements surgical care policies and procedures that maintain high standards for medical practice and patient care.	
Corrective Action:	
Notes:	
35. If immediate-use steam sterilization (IUSS) is performed, are the sterilizer cycle and parameters used selected according to the manufacturers' instructions for use for the device, container, and sterilizer? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
LD.13.01.09 EP 6 - The hospital develops and implements surgical care policies and procedures that maintain high standards for medical practice and patient care.	
Corrective Action:	
Notes:	
36. If immediate-use steam sterilization (IUSS) is performed, is the processed item transferred immediately, using aseptic technique, from the sterilizer to the actual point of use, the sterile field in an ongoing surgical procedure? <input type="checkbox"/> N/A	
<i>Instructions: Immediate use is defined as the shortest possible time between a sterilized item's removal from the sterilizer and its aseptic transfer to the sterile field. A sterilized item intended for immediate use is not stored for future use, nor held from one case to another.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
LD.13.01.09 EP 6 - The hospital develops and implements surgical care policies and procedures that maintain high standards for medical practice and patient care.	
Corrective Action:	
Notes:	
37. If immediate-use steam sterilization (IUSS) is performed, does the indication reflect compliance with the organization's policy, manufacturers' instructions for use and selected professional guidelines? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
LD.13.01.09 EP 6 - The hospital develops and implements surgical care policies and procedures that maintain high standards for medical practice and patient care.	
Corrective Action:	
Notes:	
38. If immediate-use steam sterilization (IUSS) is performed, do work practices ensure proper cleaning and decontamination, inspection, and arrangement of the instruments into the recommended sterilizing trays or other containment devices before sterilization? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
LD.13.01.09 EP 6 - The hospital develops and implements surgical care policies and procedures that maintain high standards for medical practice and patient care.	
Corrective Action:	
Notes:	
39. If immediate-use steam sterilization (IUSS) is performed, once clean, is the item placed within a container intended for immediate use? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
LD.13.01.09 EP 6 - The hospital develops and implements surgical care policies and procedures that maintain high standards for medical practice and patient care.	
Corrective Action:	

Notes:	
40. If immediate-use steam sterilization (IUSS) is performed, is the sterilizer function monitored with mechanical monitors and chemical and biologic indicators that are validated for use with the sterilization cycle and in accordance with the device and sterilizer manufacturers' instructions? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
LD.13.01.09 EP 6 - The hospital develops and implements surgical care policies and procedures that maintain high standards for medical practice and patient care.	
Corrective Action:	
Notes:	
Hazardous Materials	
41. Can staff locate Safety Data Sheet information without difficulty or delay for any chemicals used? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
PE.02.01.01 EP 2 - For managing hazardous materials and waste, the hospital has the permits, licenses, manifests, and safety data sheets required by law and regulation.	
Corrective Action:	
Notes:	
42. Are eyewash stations located in areas where workers are handling injurious corrosive or caustic chemicals? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
PE.02.01.01 EP 4 - The hospital develops and implements policies and procedures to protect patients and staff from exposure to hazardous materials. The policies and procedures address the following: - Minimizing risk when selecting, handling, storing, transporting, using, and disposing of radioactive materials, hazardous chemicals, and hazardous gases and vapors - Disposal of hazardous medications - Minimizing risk when selecting and using hazardous energy sources, including the use of proper shielding - Periodic inspection of radiology equipment and prompt correction of hazards found during inspection - Precautions to follow and personal protective equipment to wear in response to hazardous material and waste spills or exposure Note 1: Hazardous energy is produced by both ionizing equipment (for example, radiation and x-ray equipment) and nonionizing equipment (for example, lasers and MRIs). Note 2: Hazardous gases and vapors include but are not limited to ethylene oxide and nitrous oxide gases; vapors generated by glutaraldehyde; cauterizing equipment, such as lasers; waste anesthetic gas disposal (WAGD); and laboratory rooftop exhaust. (For full text, refer to NFPA 99-2012: 9.3.8; 9.3.9)	
Corrective Action:	
Notes:	
43. Are eyewash stations checked per the organization's policy requirements? <input type="checkbox"/> N/A	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
PE.02.01.01 EP 4 - The hospital develops and implements policies and procedures to protect patients and staff from exposure to hazardous materials. The policies and procedures address the following: - Minimizing risk when selecting, handling, storing, transporting, using, and disposing of radioactive materials, hazardous chemicals, and hazardous gases and vapors - Disposal of hazardous medications - Minimizing risk when selecting and using hazardous energy sources, including the use of proper shielding - Periodic inspection of radiology equipment and prompt correction of hazards found during inspection - Precautions to follow and personal protective equipment to wear in response to hazardous material and waste spills or exposure Note 1: Hazardous energy is produced by both ionizing equipment (for example, radiation and x-ray equipment) and nonionizing equipment (for example, lasers and MRIs). Note 2: Hazardous gases and vapors include but are not limited to ethylene oxide and nitrous oxide gases; vapors generated by glutaraldehyde; cauterizing equipment, such as lasers; waste anesthetic gas disposal (WAGD); and laboratory rooftop exhaust. (For full text, refer to NFPA 99-2012: 9.3.8; 9.3.9)	
Corrective Action:	
Notes:	

44. Can staff describe the process to follow in the event of a chemical spill?		<input type="checkbox"/> N/A
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____	
<p>PE.02.01.01 EP 4 - The hospital develops and implements policies and procedures to protect patients and staff from exposure to hazardous materials. The policies and procedures address the following: - Minimizing risk when selecting, handling, storing, transporting, using, and disposing of radioactive materials, hazardous chemicals, and hazardous gases and vapors - Disposal of hazardous medications - Minimizing risk when selecting and using hazardous energy sources, including the use of proper shielding - Periodic inspection of radiology equipment and prompt correction of hazards found during inspection - Precautions to follow and personal protective equipment to wear in response to hazardous material and waste spills or exposure Note 1: Hazardous energy is produced by both ionizing equipment (for example, radiation and x-ray equipment) and nonionizing equipment (for example, lasers and MRIs). Note 2: Hazardous gases and vapors include but are not limited to ethylene oxide and nitrous oxide gases; vapors generated by glutaraldehyde; cauterizing equipment, such as lasers; waste anesthetic gas disposal (WAGD); and laboratory rooftop exhaust. (For full text, refer to NFPA 99-2012: 9.3.8; 9.3.9)</p>		
Corrective Action:		
Notes:		
45. Can staff describe the process to report an employee hazardous material exposure?		<input type="checkbox"/> N/A
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____	
<p>PE.02.01.01 EP 4 - The hospital develops and implements policies and procedures to protect patients and staff from exposure to hazardous materials. The policies and procedures address the following: - Minimizing risk when selecting, handling, storing, transporting, using, and disposing of radioactive materials, hazardous chemicals, and hazardous gases and vapors - Disposal of hazardous medications - Minimizing risk when selecting and using hazardous energy sources, including the use of proper shielding - Periodic inspection of radiology equipment and prompt correction of hazards found during inspection - Precautions to follow and personal protective equipment to wear in response to hazardous material and waste spills or exposure Note 1: Hazardous energy is produced by both ionizing equipment (for example, radiation and x-ray equipment) and nonionizing equipment (for example, lasers and MRIs). Note 2: Hazardous gases and vapors include but are not limited to ethylene oxide and nitrous oxide gases; vapors generated by glutaraldehyde; cauterizing equipment, such as lasers; waste anesthetic gas disposal (WAGD); and laboratory rooftop exhaust. (For full text, refer to NFPA 99-2012: 9.3.8; 9.3.9)</p>		
Corrective Action:		
Notes:		
Ventilation, Temperature and Humidity Requirements		
46. Is the correct positive to negative pressure differential between clean and dirty areas maintained?		<input type="checkbox"/> N/A
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____	
<p>PE.04.01.01 EP 3 - The hospital has proper ventilation, lighting, and temperature control in all pharmaceutical, patient care, and food preparation areas.</p>		
Corrective Action:		
Notes:		
47. For the soiled work or decontamination room, do air balance testing reports indicate that negative pressure ventilation is maintained in relationship to adjacent areas?		<input type="checkbox"/> N/A
<p><i>Instructions: A minimum of 6 air changes per hour (ACH) with a minimum of 2 ACH outdoors is required for the soiled work or decontamination room. Please refer to FGI Guidelines for additional information.</i></p>		
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____	
<p>PE.04.01.01 EP 3 - The hospital has proper ventilation, lighting, and temperature control in all pharmaceutical, patient care, and food preparation areas.</p>		
Corrective Action:		

Notes:	
48. For clean work rooms and sterile storage areas, do air balance testing reports indicate that positive pressure ventilation is maintained in relationship to adjacent areas? <input type="checkbox"/> N/A	
<i>Instructions: A minimum of 4 air changes per hour (ACH) with a minimum of 2 ACH outdoors is required for the clean work rooms and sterile storage. Please refer to FGI Guidelines for additional information.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
PE.04.01.01 EP 3 - The hospital has proper ventilation, lighting, and temperature control in all pharmaceutical, patient care, and food preparation areas.	
Corrective Action:	
Notes:	
49. Are temperature and humidity requirements met in accordance with applicable law and regulation, guidelines, and the organization's policy for rooms where sterilized devices and instruments are stored? <input type="checkbox"/> N/A	
<i>Instructions: Please refer to FGI guidelines for additional information.</i>	
Compliant? <input type="radio"/> Yes <input type="radio"/> No	Num: _____ Den: _____
PE.04.01.01 EP 3 - The hospital has proper ventilation, lighting, and temperature control in all pharmaceutical, patient care, and food preparation areas.	
Corrective Action:	
Notes:	