

Assisted Living Community

ALC-01 Off-Label Antipsychotic Drug Use

Process Steps

5 Steps for ALC Abstraction and submission

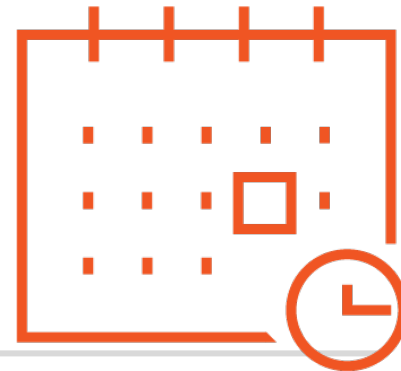
1. Determine the quarter of data you are planning to submit
2. Refer to the associated Specification Manual
3. Collect the denominator
4. Collect data for the measure in the numerator population
5. Enter data into DDS

Step 1:

Determine the quarter of data you are planning to submit:

- Quarter 1, 2, 3, or 4 for 20xx year
- Data is optional for CY2022/CY2023: any or all 4 quarters may be submitted
- Data is required for CY2024: all 4 quarters must be submitted
- For Reporting Requirements see the [Measurement](#) section of the external Joint Commission website:

<https://www.jointcommission.org/measurement/accreditation---alc/>



Step 2:

Refer to the associated Specification Manual on the [ALC webpage](#) under specifications.

Home > Measurement > Assisted Living Community (ALC)

ORYX Performance Measurement Reporting

Information about ORYX® reporting requirement for the Assisted Living Community (ALC) Accreditation Program.



Measurement

Measures	+
Specifications Manuals	+
Reporting	+
Pioneers in Quality	+
Resources	
ORYX FAQs	
Quality Measurement Webinars & Videos	+
Assisted Living Community (ALC)	

Facts about ORYX for ALC Program

- The Joint Commission's ORYX initiative integrates performance measurement data into the accreditation process.
- ORYX measurement requirements support Joint Commission-accredited organizations in their quality improvement efforts.

The following sections provide the ORYX reporting requirements for the respective year and links to important resources and supporting material.

2023 ORYX Reporting Requirements for ALC Program

+

Key Communications

+

Resources

+

Specifications

-

Measure specifications are detailed in the Specifications Manual which is accessible on The Joint Commission's website under the Specifications Manuals/Chart Abstracted Measures page.

Joint Commission Specifications Manuals

Step 2 (continued):

Refer to the associated ALC Specification Manual on the Chart Abstracted Measure Specifications Manuals home page.

<https://manual.jointcommission.org/Home/WebHome>

Chart Abstracted Measure Specifications Manuals

[? Have a question?](#)

[Hospitals and Outpatient Centers](#)

[Assisted Living Community](#)

[Health Care Staffing](#)

Q3/Q4 2023 - Version 2023B NEW Applicable 07-01-23 (3Q23) through 12-31-2023 (4Q23) Future +

Q1/Q2 2023 - Version 2023A Applicable 01-01-23 (1Q23) through 06-30-23 (2Q23) Current +

Q3/Q4 2022 - Version 2022B Applicable 07-01-22 (3Q22) through 12-31-22 (4Q22) Recent Past +

Q3 2021 - Version 2021B Applicable October 2021 through June 30, 2022 Recent Past +

Additional Documentation

Assisted Living Community (ALC) Certification Program Performance Measure Abstraction Template:

[ALC Abstraction Template](#)

Data Entry Guide for Chart-Abstracted Measures:

This guide describes the aggregate data requirements for Assisted Living Communities (ALC) submitting chart-abstracted measure data the Direct Data Submission Platform. The guide is available under the "Guide for Data Entry" section of the [Chart Abstracted Measures page](#) on The Joint Commission Website.

Step 2 (continued):

[Home](#) » [Table Of Contents ALC](#)

[Print this page](#)

Release Notes:
Table Of Contents ALC
Version 2023B

Assisted Living Community Measures

ENHANCED BY Google



Table of Contents

- [Acknowledgment and Conditions of Use](#)
- [Introduction](#)
- [Using the Manual for Assisted Living Community Measures](#)

Measure Sets

Section 1: Measure Information Forms

- [Assisted Living Community \(ALC\)](#)
 - [ALC-1](#)
 - [ALC-2](#)
 - [ALC-3](#)
 - [ALC-4](#)
 - [ALC-5](#)

Section 2: Data Dictionary

- [Introduction to the Data Dictionary](#)
- [Alphabetical List of Data Elements](#)

Section 3: Overview of Measure Information Form and Flowchart Formats

- [Overview of Measure Information Form and Flowchart Formats](#)

Step 3:

Collect denominator:

ALC-01 Gather a list of ALL Residents on the last day of the month

Denominator Statement: Number of residents who resided in the community on the last day of the month.

Included Populations: Not applicable

Excluded Populations: Not applicable

Data Elements:

- Assisted Living Community Resident

Name: Assisted Living Community Resident

Collected For: ALC-01, ALC-02

Definition: Resident of an assisted living community.

Question: Number of residents who were a resident of the community on the last day of the month?

Format:
Length: 3
Type: Numeric
Occurs: 1

Allowable Values:
Number 0-199 or UTD

Step 3 (continued):

Collect denominator:

ALC-01 Gather a list of ALL Residents on the last day of the month

- The initial population = the denominator
- Add the number of residents and put the count in the total population and denominator fields

*	Initial Populations (Initial Populations = Denominators)	Initial Populations (Initial Populations = Denominators)	Measures	Measures	Measures	Measures
Counts	Total Residents	New Residents	ALC-01 Off-Label Antipsychotic Drug Use	ALC-02 Resident Falls	ALC-03 Resident Preferences and Goals of	ALC-04 Advanced Care Plan/ Surrogate Decision Maker
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total Population			*	*	*	*
Denominator			*	*	*	*
Numerator	*	*				
* These cells intentionally left blank.						

Step 4:

Collect numerator:

Collect data for the measure
in the numerator population

Numerator Statement: Number of residents with an off-label antipsychotic drug prescribed

Included Populations: Not applicable

Excluded Populations: Not applicable

Data Elements:

- **Off-Label Antipsychotic Drug Prescribed**

Name: Off-Label Antipsychotic Drug Prescribed

Collected For: ALC-01

Definition: Off-label use of antipsychotic drugs.

Question: Has the resident been prescribed an antipsychotic for off-label use?

Format:
Length: 1
Type: Alphanumeric
Occurs: 1

Allowable Values:
Y (Yes) The resident been prescribed an antipsychotic for off-label use.
N (No) The resident has not been prescribed an antipsychotic listed for off-label use.

Notes for Abstraction:

- FDA Approved Uses for antipsychotics are listed in the table below. Select yes when the resident is prescribed an antipsychotic for purposes other than those described in the table below.
 - Select yes if the antipsychotic is prescribed as a PRN.
 - Example: resident given haldol for aggressive behavior, select 'Yes'.

Antipsychotics Table

Conventional Antipsychotics (Generic Name)	FDA Approved Uses
Haldol (Haloperidol)	Schizophrenia, Tourette's Disorder

Step 4 (continued):

Collect data for the measure in the numerator population:

- Numerator Data Element "Off-Label Antipsychotic Drug Prescribed" - Has the resident been prescribed an antipsychotic for off-label use?
- Add the number of residents for which you answered yes and put it in the Numerator field

*	Initial Populations (Initial Populations = Denominators)	Initial Populations (Initial Populations = Denominators)	Measures	Measures	Measures	Measures
Counts	Total Residents	New Residents	ALC-01 Off-Label Antipsychotic Drug Use	ALC-02 Resident Falls	ALC-03 Resident Preferences and Goals of	ALC-04 Advanced Care Plan/ Surrogate Decision Maker
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total Population			*	*	*	*
Denominator			*	*	*	*
Numerator	*	*				
* These cells intentionally left blank.						

Step 5:

Enter Data into DDSP:

Example: You abstract cases for the month of January. On January 31st there are 10 residents living at the assisted living community. Your denominator will be 10. When you look back through the 10 resident's records, there were 2 residents that were prescribed an antipsychotic for off-label use. Your numerator will be 2.

You enter in DDSP:
Denominator = 10;
Numerator = 2

*	Initial Populations (Initial Populations = Denominators)	Initial Populations (Initial Populations = Denominators)	Measures	Measures	Measures	Measures
Counts	Total Residents	New Residents	ALC-01 Off-Label Antipsychotic Drug Use	ALC-02 Resident Falls	ALC-03 Resident Preferences and Goals of	ALC-04 Advanced Care Plan/ Surrogate Decision Maker
1	a			x		
2	b	x			x	x
3	c		x			
4	d					
5	e					
6	f	x	x		x	
7	g					
8	h	x			x	x
9	i					
10	j					
Total Population	10	3	*	*	*	*
Denominator	10	3	*	*	*	*
Numerator	*	*	2	1	3	2
* These cells intentionally left blank.						

Step 5 (continued):

Login to DDSP from [The Joint Commission website](#):

The screenshot shows the top navigation bar of The Joint Commission website. The logo is on the left, followed by a dropdown menu labeled 'Our Websites'. A search bar with the placeholder text 'Search this site.' and a magnifying glass icon is next to it. The 'Login' button is highlighted with a red rectangle. Below the navigation bar is a dark blue horizontal menu with white text and dropdown arrows for 'Who We Are', 'What We Offer', 'Our Priorities', 'Standards', 'Measurement', and 'Resources'. The main content area has a large 'Login' heading. Below it is a subheading: 'Select the login link below for the resource you desire to access.' There are three columns of login options. The first column is for 'Joint Commission Connect®', with buttons for 'Joint Commission Connect' and 'Request guest access'. The second column is for 'JCR® Store', with buttons for 'Store' and 'Create an account', and a link for 'Contact the store'. The third column is for 'Joint Commission Direct Data Submission Platform (DDSP)', with a button for 'Direct Data Submission Platform' highlighted by a red rectangle.

The Joint Commission

Our Websites ▾

Search this site. 🔍

Login

Who We Are ▾ What We Offer ▾ Our Priorities ▾ Standards ▾ Measurement ▾ Resources ▾

Login

Select the login link below for the resource you desire to access.

Joint Commission Connect®

Accredited organizations have access to a dedicated extranet site on JC Connect.

Joint Commission Connect

Request guest access

JCR® Store

Browse products and services offered to help you with your accreditation journey.

Store Create an account

[Contact the store](#)

Joint Commission Direct Data Submission Platform (DDSP)

Dedicated data submission platform for accredited organizations with ORYX Performance Measurement requirements.

Direct Data Submission Platform

Step 5 (continued):

From home page select:
Chart-Abstracted Measure Submission




The screenshot shows the home page of the Direct Data Submission Platform (DDSP). The header includes the The Joint Commission logo, the organization name (999999813), and the location (Oakbrook Terrace, IL). A 'Mock HCO' button is also present. The main navigation bar includes a 'Home' button and a 'Switch HCO' button. The left sidebar contains a list of options: 'Invite & Manage Users', 'HCO Characteristics', 'eCQM Submission', 'Chart-Abstracted Measure Submission' (highlighted with a red box), and 'User Settings'. The main content area displays 'HCO Characteristics' with a table showing the Organization Name (Your Organization Name) and HCO ID (999999813). Below this, there are four tabs: 'Organization Information', 'EApp Data', 'Organization Requirements', and 'Extenuating Circumstances'. The 'Organization Information' tab is active, showing the HCO ID (999999813) and the Organization Legal Business Name (Your Organization Name).

Organization Name	HCO ID
Your Organization Name Oakbrook Terrace, IL	999999813

Organization Information	EApp Data	Organization Requirements	Extenuating Circumstances
HCO ID 999999813			
Organization Legal Business Name Your Organization Name			

Step 5 (continued):

In the Measure List page: select the 'Open' button for the measure to open the measure form

**The Joint Commission**

Your Organization Name (999999813)
Oakbrook Terrace, IL Mock HCO

Welcome HCO Oryx
Last Log In 02/20/2023 11:28 AM


 [Home](#) Direct Data Submission Platform (DDSP) [Switch HCO](#) [Need Help?](#) [Logout](#)

Chart-Abstracted Measure Submission

The entire calendar year must be submitted for each chart-abstracted measure. Data for seasonal measures (e.g., IMM-2) must be submitted for every quarter the measure is applicable. For mid-year changes in service line (e.g. obstetrical, ED, etc.) please notify The Joint Commission via Need Help? Ticket. The submission deadline for each quarter is below. [See ORYX Reporting for more information.](#)

Measure Year: 2022 ▾

Measure ID	Name	Q1 2022 <small>Closes 06-14-2023</small>	Q2 2022 <small>Closes 06-14-2023</small>	Q3 2022 <small>Closes 06-14-2023</small>	Q4 2022 <small>Closes 06-14-2023</small>	
ALC-01	Off-Label Antipsychotic Drug Use <i>(Required)</i>	Not Started	Not Started	Not Started	Not Started	Open
ALC-02	Resident Falls <i>(Required)</i>	Not Started	Not Started	Not Started	Not Started	Open
ALC-03	Resident Preferences and Goals of Care <i>(Required)</i>	Not Started	Not Started	Not Started	Not Started	Open
ALC-04	Advanced Care Plan/ Surrogate Decision Maker <i>(Required)</i>	Not Started	Not Started	Not Started	Not Started	Open
ALC-05	Staff Stability <i>(Required)</i>	Not Started	Not Started	Not Started	Not Started	Open

Step 5 (continued):

ALC-01 form opens

1. Measure Name & Details
2. Quarter Tab
3. Month of quarter
4. Select “Edit”
5. Enable

The screenshot shows the ALC-01 form in the Direct Data Submission Platform (DDSP). The form is titled "Chart-Abstracted Measure Submission" and includes a "Return to Measure List" button and a dropdown menu for "ALC-01".

1. Measure Name & Details: The "ALC-01" tab is selected, showing details for "Off-Label Antipsychotic Drug Use".

2. Quarter Tab: The "First Quarter 2022" tab is selected, showing the closing date "Closes 06-14-2023".

3. Month of quarter: The "Jan" tab is selected, showing the "Enable to submit data" toggle switch.

4. Select “Edit”: The "Edit" button is highlighted.

5. Enable: The "Enable to submit data" toggle switch is highlighted.

The form also includes fields for Denominator, Zero Denominator Case Attestation, Numerator, and Observed Rate, each with a "Click 'Submit' to Calculate" button.

Step 5 (continued):

Enter Collected Data, then Submit

6. Denominator

7. Numerator

8. Submit

First Quarter 2022 Closes 06-14-2023 Second Quarter 2022 Closes 06-14-2023 Third Quarter 2022 Closes 06-14-2023 Fourth Quarter 2022 Closes 06-14-2023

Last modification:

Jan Feb Mar

Enable to submit data ☒ Enable to submit data ☒ Enable to submit data ☒

Measure

6 Denominator 10 0 50

Zero Denominator Case Attestation ☐ ☒ ☐

7 Numerator 2 20

Observed Rate 0.2 Click 'Submit' to Calculate 0.4

Cancel Submit

8 Submit

CAM: Submission Status

–Home Page CAM Submission status:

1. The status of the active ALC is displayed under “status”
2. The Status for all ALCs the user has access to is displayed when clicking “Open” from the table below
3. The CAM Submission report for each ALC can be downloaded

The Joint Commission

Your Organization Name (999999813)
Oakbrook Terrace, IL **Mock HCO**

Home Direct Data Submission Platform Switch HCO Submission Period: 2022

Your Organization Name (999999813) **1**

Chart Abstracted Status: 1Q2022 In Progress

eCQM Status: eCQM Module Disabled

Chart-Abstracted Measure Submission Status

1Q 2022 - Submission Deadline 6-14-2023 11:59 PM

0	Chart-Abstracted Module Disabled	Open
0	Chart-Abstracted ECR on file	Open
1	Not Started	Open
2	In Progress	Open
0	Complete 2	Open 3

Important Updates

DDSP CY2022 Known Issues - Updated 2 20

DDSP Is Open for CY2022 Data Submission

Outstanding Tasks

There are no Outstanding Tasks.

Website Navigation for ALC-01 Measure



Transcript - ALC-1 Video Short – Assisted Living Community Off-Label Antipsychotic Drug Use

March 2023

Hello and welcome to the Video Short for Assisted Living Community. ALC-01 Off Label Antipsychotic Drug Use.

Let's start with some basics. There are five steps for abstracting and submitting your ALC measured data to The Joint Commission.

First, determine which quarter of data you will be submitting. Next, you will open the Specification Manual associated with the dates you will be abstracting. Next, collect data for the measures in the Denominator and then the Numerator populations as defined in the Specification Manual. And finally enter your data into the DDSP platform.

Let's take a closer look at each step. The first step for Data Abstraction is to determine which quarter of data you're abstracting for. Data for all four quarters 12 months during a reporting year must be collected for ALC Chart Abstracted measures. Let's say you're going to submit data on Q1, 2022. You will need to abstract the measures for each month in the quarter, January, February, and March.

For Step 2, refer to the Associated Specification Manual. You will need to find the link to the Chart Abstracted manuals on The Joint Commission website. The ALC Manual is found by going to Measurement and then Assisted Living Community.

This page has all the ALC information ORYX Requirements, Key Communications Resources, Specifications and Webinars. On this page you will click on the plus sign next to Specification Manuals and this will open the section and you will click on The Joint Commission Specifications manuals.

Now you are on the Chart Abstracted Measures Specification Manual Homepage. Select the Assisted Living Community Manual in the middle. At the bottom of this page is a link to an ALC Abstraction template. This template is optional but can assist an abstractor in tracking Numerator and Denominator counts. There will also be a link to the Data Entry Guide for Chart Abstracted Measures, which is a reference for submitting your data.

Choose the Specification Manual for the applicable quarter of data you are abstracting, click View Web Version and the specifications for each of the five measures will be available along with additional information for your abstraction needs. Here's a view of the table of contents in the Specification Manual. Since we are abstracting for ALC-01, you will select ALC-01 to view the specifications.

Step 3 would be to use the measure specifications to collect the Denominator for the measure using the Denominator data element. Assisted Living Community resident in the Specification Manual for ALC-01 you would need to collect the number of residents who were a resident of the community on the last day of the month.

Here's a screenshot of the template which can be downloaded from the manual home page. As you can see, you would count the total number of residents who resided in the community on the last day of the month and enter this number as the total population number and the Denominator for ALC-01.

Step 4 in the Abstraction process is to collect data for the measure in the Numerator population. Using the one measure information in the Specification Manual, you will see the data element for the Numerator is Off Label Antipsychotic Drug Prescribed. When you open the data element link, you are to answer the question, "Has the resident been prescribed any antipsychotic for off label use?" Under notes for Abstraction, there is a table which provides the antipsychotic name and the FDA approved uses. If the resident is on an antipsychotic for a diagnosis that is not FDA approved, you would answer yes. The resident has been prescribed an antipsychotic for off label use.

Using the abstraction template, you would mark which residents you answered yes to and enter that number in the Numerator field.

Finally, Step 5 is to enter the data into DDSP. In this example you are abstracting cases for the month of January. On January 31st, there are ten residents living at the Assisted Living Community. Your Denominator will be ten. When you look back through the ten residents' records, there were two residents that were prescribed an antipsychotic for off label use. Your Numerator will be to log into the system via the login page on The Joint Commission website.

From the home page, select Chart Abstracted Measure Submission. Find the measure you are submitting data on. For our example, you would select ALC-01. Click open on the right-hand side of the screen. On the submission form you will see the header which the Measure Name and Details. You can select the tab for the quarter you are submitting data on the quarterly submission tab, you can see the months in the quarter. Click edit to allow for data entry. When the form is in edit mode a submit button replaces the clear button. However, after you submit the data, the clear button becomes enabled so you can clear data to reenter if needed. Before entering the data into the Denominator and Numerator fields, you need to use the toggle button under the month for which you are submitting data to activate the fields. The Enable toggle allows data entry for each month, and in case there are errors in other months, you can still enter and submit one month at a time.

As you can see, for the month of January, in quarter one, 2022, the Denominator was ten and the Numerator was two. The system automatically calculates the observed rate shown in blue, click Submit. On the DDSP home page, you will see the status of the organization measure submission. If multiple organizations are under one system, the data is submitted by a single user. The user can click open and see the status of measures for each individual organization. Finally, a submission report can be downloaded by clicking on the link Download Submission Report.

Now we will show you the Measure Specification Manual As it relates to abstracting ALC-01. On the Measure home page, click on the Assisted Living Community Manual. As you can see, the ALC Abstraction Template is available for download on this page. Select the manual associated with the dates being obstructed. Click on the View Web Version. From the table of Contents page, you can see the ALC Measures additional sections for information on using the manual and release notes for the updated selected manuals, click on ALC-01. Here you see the Measure Information Form. For Measure Abstraction, you want to see the Denominator and Numerator sections. As you can see here, the Denominator statement is the number of residents who resided in the community on the last day of the month. The data element to provide guidance on Abstraction is called Assisted Living Community Residents. Click on this data element.

The data Element page lists the name which measure it's collected for and the definition and question for Abstraction. It also provides the allowable values you need to answer the question. For the data element Assisted Living Community Resident, the allowable values would be a number 0 through 199 or unable to determine.

Back on the Measure Information Form, you will now look to the Numerator statement. Which is the number of residents with an off-label antipsychotic drug prescribe. The data element for the Numerator is off label antipsychotic drug prescribed. Click on the data element and you will see that you are to answer the question, "Has the resident been prescribed an antipsychotic for off label use?" The allowable values are Yes, The resident has been prescribed an antipsychotic for off label use or No, the resident has not been prescribed an antipsychotic listed for off label use. This data element has notes for Abstraction which provide guidance on answering the question for the data element. Under the notes for Abstraction is the Antipsychotics table, which provides the name of the antipsychotic and the FDA approved uses. This table should be used to determine if a resident has been prescribed an antipsychotic for off label use.

Now that you have the Denominator and Numerator counts, you could enter the data into the template if you opted to use this tool and also into the DDSP.

For more information on the DDSP platform, see the ALC Onboarding Webinar video or the video shorts. Links are located on the ALC Confluence Page, which is available on the ALC web page under Resources and FAQs. Thank you.