

Joint Commission Pioneers in Quality

# Webinar: Assisted Living Certification Measures

December 2021



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## Today's learning goals are:

- Identify the specifications for the new Assisted Living Community measures.
- Prepare to implement the specifications for the new Assisted Living Community measures.

### Disclosure Statement

These staff and speakers have disclosed that neither they, nor their spouses/partners, have any financial arrangements or affiliations with corporate organizations that either provide educational grants to this program or may be referenced in this activity:

- Susan Funk, MPH, LSSGB, Associate Project Director, Measurement Coordination and Outreach
- Paula Farrell BSN, RN, CPHQ, LSSGB, Project Director, The Joint Commission
- Marc Hallez, RN-BSN, MSHI, Associate Project Director, The Joint Commission

# Assisted Living Community (ALC)

## Standardized Performance Measures

# Measure Set

ID	Measure Name
ALC-01	Off-Label Antipsychotic Drug Use
ALC-02	Resident Falls
ALC-03	Resident Preferences and Goals of Care
ALC-04	Advanced Care Plan/ Surrogate Decision Maker
ALC-05	Staff Stability

# Initial Patient Population

ID	Measure Name	Denominator Population
ALC-01	Off-Label Antipsychotic Drug Use	Total Residents
ALC-02	Resident Falls	Total Residents*
ALC-03	Resident Preferences and Goals of Care	New Residents
ALC-04	Advanced Care Plan/ Surrogate Decision Maker	New Residents
	Staff Stability	*Number of Positions *Number of direct care staff positions

\*Corrected from New Residents

# Measure Specifications



# Denominator and Numerator



**Denominator:** The number below the line in a common fraction; a divisor. A figure representing the total population.

**Numerator:** The number above the line in a common fraction showing how many of the parts indicated by the denominator are taken.

Ex: You have 40 residents living at your organization in the month of November, 2 of those residents fell in November.

$$\frac{\text{Numerator}=2}{\text{Denominator}=40}$$

## Off-Label Antipsychotic Drug Use

**Denominator Statement:** Number of residents who resided in the community on the last day of the month.

**Numerator Statement:** Number of residents with an off-label antipsychotic drug prescribed.

# Denominator Data Element



**Name:** *Assisted Living Community Resident*

**Collected For:** ALC-01, ALC-02

**Definition:** Resident of an assisted living community.

**Question:** Number of residents who were a resident of the community on the last day of the month?

**Format:**      **Length:** 3  
                    **Type:**    Numeric  
                    **Occurs:** 1

**Allowable Values:**

                    Number 0-199 or UTD

## Notes for Abstraction:

## Suggested Data

## Sources:

## Additional Notes:

## Guidelines for Abstraction:

Inclusion	Exclusion
None	None

# Numerator Data Element

**Name:** *Off-Label Antipsychotic Drug Prescribed*

**Collected For:** ALC-01

**Definition:** Off-label use of antipsychotic drugs.

**Question:** Has the resident been prescribed an antipsychotic for off-label use?

**Format:** **Length:** 1

**Type:** Alphanumeric

**Occurs:** 1

**Allowable Values:**

Y (Yes) The resident been prescribed an antipsychotic for off-label use.

N (No) The resident has not been prescribed an antipsychotic listed for off-label use.

- Notes for Abstraction:**
- FDA Approved Uses for antipsychotics are listed in the table below. Select yes when the resident is prescribed an antipsychotic for purposes other than those described in the table below.
    - Select yes if the antipsychotic is prescribed as a PRN.
    - Example: resident given haldol for aggressive behavior, select 'Yes'.

## Antipsychotics Table

Conventional Antipsychotics (Generic Name)	FDA Approved Uses
Haldol (Haloperidol)	Schizophrenia, Tourette's Disorder
Loxitane (Loxapine)	Schizophrenia
Navane (Thiothixene)	Schizophrenia
Orap (Pimozide)	Tourette's Disorder

Atypical Antipsychotics (Generic Name)	FDA Approved Uses
Abilify (Aripiprazole)	Schizophrenia, Bipolar Disorder, Major Depressive Disorder, Irritability associated with autistic disorder
Saphris (Asenapine)	Schizophrenia, Bipolar Disorder

Atypical Antipsychotics (Generic Name)	FDA Approved Uses
Clozaril or Fazaclo (Clozapine)	Schizophrenia
Fanapt (Iloperidon)	Schizophrenia
Zyprexa (Olanzapine)	Schizophrenia, Bipolar Disorder, Treatment of resistant depression
Invega (Paliperidone)	Schizophrenia, Schizoaffective Disorder
Seroquel (Quetiapine)	Schizophrenia, Bipolar Disorder, Major Depressive Disorder
Risperdal (Risperidone)	Schizophrenia, Bipolar Disorder, Irritability associated with autistic disorder
Geodon (Ziprasidone)	Schizophrenia, Bipolar Disorder

# ALC-01 Data Collection

**Denominator:** The total number from the data element *Assisted Living Community Resident* is the Denominator.

**Numerator:** Count number of residents with a Yes to *Off-Label Antipsychotic Drug Prescribed*; the total number is the numerator.

**Example:** You are abstracting cases for the month of June. On June 30th there are 35 residents living at the assisted living community. Your denominator will be 35. When you look back through the 35 resident's records, there were 2 residents that were prescribed an antipsychotic for off-label use. Your numerator will be 2.

Denominator = 35      Numerator = 2

# ALC-02

## Resident Falls

**Denominator Statement:** Number of residents who resided in the community on the last day of the month.

**Numerator Statement:** Residents who fall and are transferred to the hospital, per month.



# Denominator Data Element



**Name:** *Assisted Living Community Resident*

**Collected For:** ALC-01, ALC-02

**Definition:** Resident of an assisted living community.

**Question:** Number of residents who were a resident of the community on the last day of the month?

**Format:**           **Length:** 3  
                          **Type:** Numeric  
                          **Occurs:** 1

**Allowable Values:**  
Number 0-199 or UTD

**Notes for Abstraction:**

**Suggested Data**

**Sources:**

**Additional Notes:**

**Guidelines for Abstraction:**

Inclusion	Exclusion
None	None

# Numerator Data Element

**Name:** *Fall With Transfer to Hospital*

**Collected For:** ALC-02

**Definition:** Residents who fall and are transferred to the hospital, per month.

**Question:** Did the resident experience a fall and were they transferred to the hospital?

**Format:** **Length:** 1

**Type:** Alphanumeric

**Occurs:** 1

**Allowable Values:**

Y (Yes) The resident experienced a fall and was transferred to the hospital.

N (No) The resident experienced a fall but was not transferred to the hospital or the resident did not experience a fall and was not transferred to the hospital.

**Notes for Abstraction:**

- Abstract 'Yes' for any resident that falls and is transferred to the hospital, even if they are not admitted to the hospital.

# ALC-02 Data Collection

**Denominator:** The total number from the data element *Assisted Living Community Resident* is the Denominator.

**Numerator:** Count the number of residents with a 'Yes' to the data element *Fall With Transfer to Hospital*; the total number is the numerator.

**Example:** You are abstracting cases for the month of August. On August 31st there are 85 residents living at the assisted living community. Your denominator will be 85. When you look back through the 85 resident's records, there were 4 residents that fell and were transferred to the hospital. Your numerator will be 4.

Denominator = 85

Numerator = 4

## Resident Preferences and Goals of Care

**Denominator Statement:** Number of residents who are new to the assisted living community each month.

**Numerator Statement:** Residents who have documentation in their record of their preferences and goals of care.

# Denominator Data Element

**Name:** *New Resident*

**Collected For:** ALC-03, ALC-04

**Definition:** Number of new residents who moved in during the month being reviewed.

**Question:** What is the number of new residents at the assisted living community at the end of the month?

**Format:**

**Length:** 3  
**Type:** Numeric  
**Occurs:** 1

**Allowable Values:**

Number 0-199 or UTD

**Notes for Abstraction:**

- Generate a list of new residents who moved into the assisted living community during the month that is being reviewed.
- Use this list to complete the denominator number of residents who are new to the assisted living community each month.
- This list will also be the records reviewed for the data element Resident Preferences and Goals of Care that will be the numerator.

**Suggested Data Sources:**

- Billing records
- Move-in logs



# Numerator Data Element



<b>Name:</b>	<i>Resident Preferences and Goals of Care</i>
<b>Collected For:</b>	ALC-03
<b>Definition:</b>	<p>There is documentation in the resident's record that the assisted living care team discussed or attempted to discuss the resident's preferences and goals for care. Documentation should include a discussion on preferences and goals including, but not limited to:</p> <ul style="list-style-type: none"><li>• Hospitalization or transfer preference</li><li>• Medication management</li><li>• Wound care</li><li>• Activity planning</li><li>• Dietary services</li></ul>
<b>Question:</b>	Is there documentation in the resident's record that the assisted living care team discussed or attempted to discuss the resident's preferences and goals for care?
<b>Format:</b>	<p><b>Length:</b> 1</p> <p><b>Type:</b> Alphanumeric</p> <p><b>Occurs:</b> 1</p>
<b>Allowable Values:</b>	<p>Y (Yes) There is documentation in the resident's record that the assisted living care team discussed or attempted to discuss the resident's preferences and goals for care.</p> <p>N (No) There is no documentation in the resident's record that the assisted living care team discussed or attempted to discuss the resident's preferences and goals for care or unable to determine.</p>

**Notes for Abstraction:**

- A discussion about preferences and goals of care can be initiated by any member of the assisted living care team.
- Documentation must include the specific preferences discussed.
  - Example: “discussed hospitalization and if needed Mr. Smith does not wish to be transferred to the hospital.
- Goals of care are related to quality of life and may include rehabilitation or comfort care.
- Facilities should have a conversation with the resident about their preferences and goals of care. The measure is not capturing the number of preferences/goals discussed.
- For the purpose of this resident-centered measure, the documentation should indicate that the resident, family or surrogate was involved in the discussion of preferences and goals of care and care planning (i.e. that it was not completed solely by the clinician without input by the resident).
- Preferences and goals of care should be derived based upon the residents expressed preferences, values, needs, concerns and/or desires, through clinician-led discussion, professional guidance and support for resident and family decision making.
- Family is determined by the resident. Family may be defined as a person or persons who play a significant role in an individual's life.
- A surrogate decision-maker is someone legally appointed to make decisions on behalf of another. This individual can be a family member, or someone not related to the individual. A surrogate decision-maker makes decisions when the individual is without decision-making capacity or when the individual has given permission to the surrogate to make decisions. Such an individual is sometimes referred to as a legally responsible representative.
- If the resident or family declines to discuss the goals of care, and the documentation reflects this, select ‘Yes’.

# ALC-03 Data Collection

**Denominator:** The total number from the data element *New Resident* is the Denominator.

**Numerator:** Count the number of residents with 'Yes' to the data element *Resident Preferences and Goals of Care*; the total number is the numerator.

**Example:** You are abstracting cases for the month of November. On November 30th there were 6 new residents living at the assisted living community. Your denominator will be 6. When you look back through the 6 resident's records, all 2 had documentation of their preference and goals of care; this is the numerator.

Denominator = 6

Numerator = 2



# ALC-04

## Advanced Care Plan/ Surrogate Decision Maker

**Denominator Statement:** Number of residents who are new to the assisted living community each month.

**Numerator Statement:** Residents who have an advance care plan or surrogate decision maker documented in their record or documentation that an advance care plan was discussed but the resident did not wish or was not able to name a surrogate decision maker or provide an advance care plan per month.

# Denominator Data Element

**Name:** *New Resident*

**Collected For:** ALC-03, ALC-04

**Definition:** Number of new residents who moved in during the month being reviewed.

**Question:** What is the number of new residents at the assisted living community at the end of the month?

**Format:**      **Length:** 3  
                    **Type:**    Numeric  
                    **Occurs:** 1

**Allowable Values:**  
  
Number 0-199 or UTD

**Notes for Abstraction:**

- Generate a list of new residents who moved into the assisted living community during the month that is being reviewed.
- Use this list to complete the denominator number of residents who are new to the assisted living community each month.
- This list will also be the records reviewed for the data element Resident Preferences and Goals of Care that will be the numerator.

**Suggested Data Sources:**

- Billing records
- Move-in logs

# Numerator Data Element

<b>Name:</b>	<i>Advanced Care Plan/Surrogate Decision Maker</i>
<b>Collected For:</b>	ALC-04
<b>Definition:</b>	Documentation of an advanced care plan or a surrogate decision maker in the resident's record. An advance care plan includes instructions given by individuals specifying what actions should be taken for their health if they are no longer able to make decisions due to illness or incapacity, and therefore appoints a person to make such decisions on their behalf.
<b>Question:</b>	Is there documentation of an advanced care plan/surrogate decision maker in the resident's record?
<b>Format:</b>	<b>Length:</b> 1 <b>Type:</b> Alphanumeric <b>Occurs:</b> 1
<b>Allowable Values:</b>	<p>Y (Yes) Documentation of an advanced care plan/surrogate decision maker was present in the resident's record or the resident refuses.</p> <p>N (No) There was no documentation of an advanced care plan/surrogate decision maker in the resident's record, or unable to determine from medical record documentation.</p>
<b>Notes for Abstraction:</b>	<ul style="list-style-type: none"><li>• If the resident is given written information on resources to formulate an advanced directive, select 'Yes'.</li><li>• If there is documentation of a discussion initiated by the assisted living community staff, but the resident declined the discussion, select 'Yes'.</li><li>• If there is documentation that the resident has an advanced care plan, but a copy is not present in their record, select 'No'.</li><li>• See inclusion list for acceptable documentation of and advanced care plan.</li></ul>

## Guidelines for Abstraction:

Inclusion	Exclusion
<ul style="list-style-type: none"> <li>• Advance care plan</li> <li>• Advance decision</li> <li>• Advance directive</li> <li>• Advance healthcare directive</li> <li>• Health care proxy</li> <li>• Living will</li> <li>• MOLST (Medical Orders for Life-Sustaining Treatment)</li> <li>• Personal directive</li> <li>• POLST (Physician Orders for Life-Sustaining Treatment)</li> <li>• Power of attorney for healthcare</li> </ul>	<ul style="list-style-type: none"> <li>• Do Not Resuscitate (DNR) Orders</li> </ul>

# ALC-04 Data Collection

**Denominator:** The total number from the data element *New Resident* is the Denominator.

**Numerator:** Count number of residents with a 'Yes' to the data element *Advanced Care Plan/Surrogate Decision Maker*; the total number is the numerator.

**Example:** You are abstracting cases for the month of March. On March 31st there are 4 new residents who moved into the assisted living community. Your denominator will be 4. When you look back through the 4 resident's records, all 4 had documentation of an advanced care plan/surrogate decision maker present in the resident's record or the resident refuses; this is the numerator.

Denominator = 4

Numerator = 4

# ALC-05

## Staff Stability

### **Denominator Statement:**

ACL-05a: Number of positions/ employees who were employed on the last day of the month per month, including all direct and non-direct.

ACL-05b: Number of direct care staff positions/ employees who were employed on the last day of the month, per month.

### **Numerator Statement:**

ACL-05a: Total number of staff who left the assisted living community, during this month.

ACL-05b: Total number of direct care staff who left the assisted living community, during this month.



# Denominator Data Element

<b>Name:</b>	<i>Number of Positions</i>
<b>Collected For:</b>	ALC-05
<b>Definition:</b>	Number of positions/ employees who were employed on the last day of the month per month, including all direct and non-direct.
<b>Question:</b>	What was the total number of positions/employees who were employed on the last day of this month?
<b>Format:</b>	<b>Length:</b> 3 <b>Type:</b> Numeric <b>Occurs:</b> 1
<b>Allowable Values:</b>	0-199
<b>Notes for Abstraction:</b>	<ul style="list-style-type: none"><li>• Include both direct care staff positions and non-direct care staff positions.</li><li>• Count only those employees who were employed on the last day of this month.</li></ul>

# Numerator Data Element

**Name:** *Number of Staff Employed*

**Collected For:** ALC-05

**Definition:** Number of staff employed at any time by the assisted living community.

**Question:** What was the total number of staff employed at any time by the assisted living community, during this month?

**Format:**

**Length:** 3  
**Type:** Numeric  
**Occurs:** 1

**Allowable Values:**

0-199

**Notes for Abstraction:**

- Unless most staff in the category are contract staff, only report traditional employment; otherwise include both contract and traditional employment.
- Count all positions regardless of full-time or part-time status (submit only whole numbers).
- Count all employees who were employed at any time during this month.



# Denominator Data Element:



<b>Name:</b>	<i>Number of Direct Care Staff Positions</i>
<b>Collected For:</b>	ALC-05
<b>Definition:</b>	Number of direct care staff positions/ employees who were employed on the last day of the month, per month.
<b>Question:</b>	What was the total number of direct care staff positions/employees who were employed on the last day of this month?
<b>Format:</b>	<b>Length:</b> 3 <b>Type:</b> Numeric <b>Occurs:</b> 1
<b>Allowable Values:</b>	0-199
<b>Notes for Abstraction:</b>	<ul style="list-style-type: none"><li>• Direct care staff positions include registered nurse (RN), licensed practical nurse (LPN), and aides that are both certified and not certified (e.g. CNA).</li><li>• Count only direct care staff who were employed on the last day of this month.</li><li>• Unless most staff in the category are contract staff, only report traditional employment; otherwise include both contract and traditional employment.</li><li>• Count all direct care staff regardless of full-time or part-time status (submit only whole numbers).</li></ul>

# Numerator Data Element

<b>Name:</b>	<i>Number of Direct Care Staff Employed</i>
<b>Collected For:</b>	ALC-05
<b>Definition:</b>	Number of direct care staff employed at any time by the assisted living community.
<b>Question:</b>	What was the total number of direct care staff employed at any time by the assisted living community, during this month?
<b>Format:</b>	<b>Length:</b> 3 <b>Type:</b> Alphanumeric <b>Occurs:</b> 1
<b>Allowable Values:</b>	0-199
<b>Notes for Abstraction:</b>	<ul style="list-style-type: none"><li>• Direct care staff positions include registered nurse (RN), licensed practical nurse (LPN), and aides that are both certified and not certified (e.g. CNA).</li><li>• Unless most staff in the category are contract staff, only report traditional employment; otherwise include both contract and traditional employment.</li><li>• Count all direct care staff regardless of full-time or part-time status (submit only whole numbers).</li><li>• Count all direct care staff who were employed at any time during this month.</li></ul>

# ALC-05

## Data Collection

### ACL-05a:

Denominator: Total number from the data element *Number of Positions* is the denominator.

Numerator: Total number from the data element *Number of Staff Employed* minus the total *Number of Positions* is the Numerator.

# ALC-05

## Data Collection

### **ACL-05b:**

**Denominator:** Total number from the data element *Number of Direct Care Staff Positions* is the denominator.

**Numerator:** Total number from the data element *Number of Direct Care Staff Employed* minus the total *Number of Direct Care Staff Positions* is the Numerator.

# ALC-05

## Data Collection

### ACL-05a

Example: You are abstracting ACL-05a for the month of June. On June 30th there are 15 employees who were employed on the last day of the month. Your denominator will be 15. The total number of employees who worked anytime this month was 18.

$$18 - 15 = 3 \text{ (Numerator)}$$

Formula to calculate the rate is: Numerator divided by Denominator, times 100 = rate


$$3/15 = 0.2 \text{ or } 20\%$$

# Performance Measure Q&A Forum

<https://manual.jointcommission.org/Home/WebHome>

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## QUESTIONS?

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# Thank you for viewing!



[pioneersinquality@jointcommission.org](mailto:pioneersinquality@jointcommission.org)



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## Assisted Living Community Performance Measures Webinar

*Recorded Nov 2021 – Released Dec 2021*

### Slide 1

Thank you for your interest in this Assisted Living Certification Measures On Demand Webinar.

### Slide 2

Before we jump into today's content, we wanted to let you know the slides and transcript are available.

- To access the slides and transcript, visit:  
<https://www.jointcommission.org/measurement/quality-measurement-webinars-and-videos/>
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### Slide 3

Today's learning goals are:

Identify the specifications for the new Assisted Living Community measures.

Prepare to implement the specifications for the new Assisted Living Community measures.

### Slide 4

The following staff and speakers have disclosed that neither they, nor their spouses/partners, have any financial arrangements or affiliations with corporate organizations that either provide educational grants to this program or may be referenced in this activity: Susan Funk, Paula Farrell, and Marc Hallez

I'll turn things over now to Marc to give his presentation.

### Slide 5

Hello, my name is Marc Hallez, and I am an associate project director in the center for performance measurement at The Joint Commission. Today, we will review the Assisted Living Community standardized performance measures.

### Slide 6

These are the 5 standardized performance measures that accredited organizations are required to abstract. We worked with a Technical Expert Panel that included organizations in the field of assisted living, geriatrics, dementia care, nursing, and senior advocacy to determine what measures should be included for abstraction in the program.

### Slide 7

There are three populations that will be used to determine the initial population for the measure set: total residents, new residents and number of staff positions. The measures with their corresponding

denominator population are listed on this table. Please insure you are utilizing the correct denominator for the measure you are abstracting.

Sampling is not allowed for this measure set.

Slide 8

On to measure specifications

Slide 9

The denominator is a figure representing the total population.

The numerator shows how many of the parts indicated by the denominator are taken.

For Example: You have 40 residents living at your organization in the month of November, 2 of those residents fell in November.

Numerator = 2

Denominator=40

Slide 10

The first measure we are going to review is ALC-01 Off-label antipsychotic drug use.

Literature shows that nearly two out of five individuals in assisted living are living with some type of dementia and too often, antipsychotic medication is used to modify undesirable behavior. The use of antipsychotic medication to treat behavior associated with dementia is not supported clinically and is considered off-label by the FDA. Antipsychotics have been shown to increase the risk of death, falls and fractures, hospitalizations, and other complications.

The denominator for the measure is the number of residents who reside in the community on the last day of the month.

The numerator is the number of residents with an off-label antipsychotic drug prescribed. Hopefully your numerator will be zero, but the lower number you have in the numerator the better.

With each measure we have specific data elements that are defined to assist with data abstraction.

Slide 11

The denominator data element that will be abstracted is titled Assisted Living Community Resident and the definition of this is the number of residents who were residents of the community on the last day of the month. So, if you have 50 residents at the end of December your denominator will be 50.

Slide 12

The numerator data element is titled Off-label antipsychotic drug prescribed. The numerator is the number of residents that are also prescribed an antipsychotic for off-label drug use.

With this data element we do provide a list of FDA approved uses for antipsychotics. This table will help you determine if a resident is prescribed an antipsychotic and if it was prescribed for purposes other than those described.

Slide 13

For instance, if a resident was prescribed Haldol and did not have a diagnosis of schizophrenia or Tourette's, you would abstract Yes for this data element and the case would be included in the numerator.

Slide 14

This is just a continuation of the FDA approved uses table.

Slide 15

Here is an example of how to abstract ALC-01. So, in this example you are abstracting the measure for the month of June for your organization. On June 30th you have 35 residents living at an assisted living community center. You look back through the 35 residents' records and determine that there were 2 residents that were prescribed an antipsychotic for off-label use. Therefore, your denominator is going to 35 and your numerator is 2.

Slide 16

The next measure is ALC-02 Resident Falls

This is the number of residents who fall and are transferred to the hospital.

The effort to monitor the prevalence of falls at the facility level with transfer to the hospital is very important for protecting the health of facility residents. Studies show that such falls can leave up to 50%–65% of residents with fears that impact both their functional abilities and social activities. Identifying fall risk factors can help facilities reduce incidence of falls among their residents through clinical and non-clinical practices. Studies have shown that falls account for 10% of visits to the emergency department and six percent of urgent hospitalizations among elderly people.

The Denominator is defined as the Number of residents who resided in the community on the last day of the month.

The Numerator is defined as Residents who fall and are transferred to the hospital, per month.

Slide 17

The denominator data element that is abstracted is Assisted Living Community Resident and for this data element you will capture the number of residents who were living in the community on the last day of the month.

Slide 18

The numerator data element is Fall with Transfer to the Hospital. Here, we are looking to capture those residents who fell and are transferred to the hospital, per month.

Slide 19

Let's review the following example.

In this example you are abstracting the measure for the month of August. On August 31st you have 85 residents living at an assisted living community center. You look back through the 85 residents' records and determine that 4 residents fell and were transferred to the hospital. Therefore, your denominator is going to 85 and your numerator is 4.

## Slide 20

The third measure is ALC-03 Resident Preferences and Goals of Care

The Description is Assisted living residents with documentation of preferences and goals of care.

The rationale for this measure finds that residents who are given the opportunity to express preferences and goals are more likely to receive care consistent with their values, and resident and family satisfaction outcomes improve. Use of the resident preferences quality measure will improve attention to this important practice, in order to enhance resident autonomy, facilitate resident-centered decision-making, and communicate resident preferences.

The Denominator Statement is the number of residents who are new to the assisted living community each month.

And the Numerator Statement is Residents who have documentation in their record of their preferences and goals of care.

## Slide 21

The denominator data element that will be abstracted is New Resident and asks what the number of new residents at the assisted living community is at the end of the month.

## Slide 22

Next is the numerator data element and this asks if there is documentation in the resident's record that the assisted living community care team discussed or attempted to discuss the resident's preferences and goals of care with the resident. Documentation on the preferences and goals of care should include but are not limited to a discussion on hospitalization or transfer preference, medication management, wound care, activity planning, and dietary services.

## Slide 23

We have added some Notes for Abstraction under the data element to further assist with abstraction of the measure. I'm not going to read through all of these, but I'll point out a few. The discussion regarding preferences and goals of care can be initiated by any member of the assisted living care team. The measure is looking to capture if a discussion on preferences and goals of care occurred, not the number of preferences or goals that were discussed.

## Slide 24

Here we are providing another example.

So, in this example, you are abstracting the measure for the month of November. On November 30th there are 6 new residents living at the assisted living community center. You look back through the 6 residents' records and determine that 2 residents had documentation of their preferences and goals of care. Therefore, your denominator is 6 and your numerator is 2.

## Slide 25

The fourth measure is ALC-04 Advanced Care Plan/Surrogate Decision Maker

The Description is Residents who have documentation of an advance care plan or surrogate decision maker in their record.

The Rationale for This measure addresses advance care planning as one facet of high-quality care for older adults. The aim of advance care planning is to ensure that care near the end of life aligns with the patient's wishes. Advanced care planning is associated with improved health outcomes for older adults, including reducing hospitalizations, intensive care unit (ICU) admissions, and hospital and ICU lengths of stay. However, most older adults do not have advance care planning conversations with their clinicians even though there is consensus among diverse stakeholders that advance care planning is a key component of high-quality care. The intent of this measure is to promote advance care planning discussions and documentation of that discussion in the resident's record. As people age, consideration should be given to their treatment wishes in the event that they lose the ability to manage their care. A large discrepancy exists between the wishes of dying patients and their actual end-of-life care. Advance directives are widely recommended as a strategy to improve compliance with patient wishes at the end of life, and thereby ensure appropriate use of health care resources at the end of life.

The Denominator Statement is the Number of residents who are new to the assisted living community each month.

And the Numerator Statement is Residents who have an advance care plan or surrogate decision maker documented in their record or documentation that an advance care plan was discussed but the resident did not wish or was not able to name a surrogate decision maker or provide an advance care plan per month.

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The denominator data element is capturing the number of new residents who moved in during the month being reviewed.

Slide 27

The numerator is capturing if there was documentation of an advance care plan/surrogate decision maker in the resident's record.

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We do provide some guidelines for abstraction on what wording may be used for an advanced care plan. Please take note that a DNR, or do not resuscitate order is not an advanced care plan.

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Here is another example.

So in this example you are abstracting the measure for the month of March. On March 31st there are 4 new residents living at the assisted living community center. You look back through the 4 residents' records and determine that all 4 had documentation of an advanced care plan or surrogate decision maker. Therefore, your denominator is 4 and your numerator is 4.

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The final measure we will review is ALC-05 Staff Stability

The Description is to Improve staff stability through tracking turnover among assisted living community staff.

The Rationale for this measure is that those who work most closely with residents are at the core of providing quality care. Research shows that satisfied staff contributes to greater quality of life of the residents in assisted living communities and provide better quality of care. With a more satisfied, well-trained, and committed staff, providers see increased retention rates and fewer work-related incidents and injuries to the workforce, all of which contribute to better overall performance of the community. The more consistent and dedicated the staff is, the more they understand and are able to effectively respond to each person's needs – reinforcing the long term care profession's commitment to delivering person-centered care.

There are two Denominator Statements that we should review:

ALC-05a: Number of positions/ employees who were employed on the last day of the month per month, including all direct and non-direct staff.

ALC-05b: Number of direct care staff positions/ employees who were employed on the last day of the month, per month.

And there are also two Numerator Statements that we should review:

ALC-05a: Total number of staff who left the assisted living community, during this month.

ALC-05b: Total number of direct care staff who left the assisted living community, during this month.

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ALC-05a's denominator is the number of positions or employees who were employed on the last day of the month per month, including all direct and non-direct care staff.

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The numerator for ALC-05a is the number of staff employed at any time by the assisted living community during this month.

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The denominator data element for ALC-05b is the number of direct care staff who were employed on the last day of the month, per month. Direct care staff includes Registered Nurses, Licensed Professional Nurses and aides that are certified and not certified.

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The numerator for ALC-05b is the number of direct care staff employed at any time by the assisted living community during the month that is being abstracted.

Slide 35

For data entry of ALC-05a, Total the number from the data element Number of Positions that is the denominator.

Then, Total the number from the data element Number of Staff Employed minus the total Number of Positions, and that is your numerator

Slide 36

For data entry of ALC-05b:

Total the number from the data element Number of Direct Care Staff Positions, that is your denominator.

For your Numerator, take the number from the data element Number of Direct Care Staff Employed and subtract the total Number of Direct Care Staff Positions.

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Let's review out final example utilizing ALC-05a

You are abstracting ALC-05a for the month of June. On June 30th there are 15 employees who were employed on the last day of the month. Your denominator will be 15. The total number of employees who worked anytime this month was 18.

$$18-15 = 3 \text{ (Numerator)}$$

Formula to calculate the rate is: Numerator divided by Denominator, times 100 = rate

$$3/15 = 0.2 \text{ or } 20\%$$

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The ALC measures specification manual can be accessed on the manual specifications webpage. This is also our Question and Answer site, so should you have any questions regarding the new measures, please submit your inquiry to our Performance Measure question and answer forum.

Slide 39

Thanks, Marc. Again, if you have questions viewing this on-demand webinar, you can access and submit them here: <https://manual.jointcommission.org/Home/WebHome>

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Thank you for viewing this on demand webinar.