Assisted Living Community

ALC-02 Resident Falls





Process Steps

5 Steps for ALC Abstraction and submission

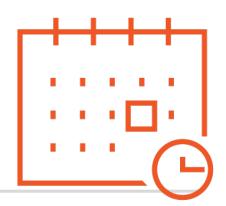
- 1. Determine the quarter of data you are planning to submit
- 2. Refer to the associated Specification Manual
- Collect the denominator
- 4. Collect data for the measure in the numerator population
- Enter data into DDSP



Step 1:

Determine the quarter of data you are planning to submit:

- Quarter 1, 2, 3, or 4 for 20xx year
- Data is optional for CY2022/CY2023: any or all 4 quarters may be submitted
- Data is required for CY2024: all 4 quarters must be submitted
- For Reporting Requirements see the <u>Measurement</u> section of the external Joint Commission website:
 - https://www.jointcommission.org/measurement/accreditation---alc/





Step 2:

Refer to the associated Specification Manual on the ALC webpage under specifications.

ORYX Performance Measurement Reporting

Information about *ORYX*® reporting requirement for the Assisted Living Community (ALC) Accreditation Program.



Measurement Measures + Specifications Manuals + Reporting + Pioneers in Quality + Resources ORYX FAQs Quality Measurement Webinars & + Videos + Assisted Living Community (ALC)

Facts about ORYX for ALC Program

- The Joint Commission's ORYX initiative integrates performance measurement data into the accreditation process.
- ORYX measurement requirements support Joint Commission-accredited organizations in their quality improvement efforts.

The following sections provide the ORYX reporting requirements for the respective year and links to important resources and supporting material.

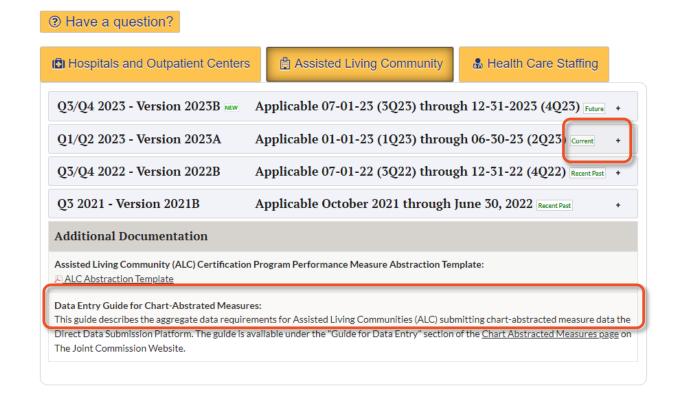
2023 ORYX Reporting Requirements for ALC Program	+
Key Communications	+
Resources	+
Specifications	-
Measure specifications are detailed in the Specifications Manual which is accessible on The Joint Commission's website under the Specifications Manuals/Chart Abstracted Measures page.	



Refer to the associated ALC Specification
Manual on the Chart Abstracted
Measure Specifications
Manuals home page.

https://manual.jointcommission.or g/Home/WebHome

Chart Abstracted Measure Specifications Manuals





Assisted Living Community Measures (v2023B)

. Overview of Measure Information Form and Flowchart Formats

Posted: 02/03/2023 Home » Table Of Contents ALC Print this page Release Notes: Table Of Contents ALC Version 2023B **Assisted Living Community Measures** ENHANCED BY Google **Table of Contents** · Acknowledgment and Conditions of Use Introduction . Using the Manual for Assisted Living Community Measures Measure Sets Section 1: Measure Information Forms · Assisted Living Community (ALC) ALC-4 ALC-5 Section 2: Data Dictionary . Introduction to the Data Dictionary · Alphabetical List of Data Elements Section 3: Overview of Measure Information Form and Flowchart Formats



Step 3:

Collect denominator:

ALC-02 Gather a list of ALL Residents who resided in the community on the the last day of the month **Denominator Statement:** Number of residents who resided in the community on the last day of the month.

Included Populations: Not applicable

Excluded Populations: Not applicable

Data Elements:

Assisted Living Community Resident

Name: Assisted Living Community Resident

Collected For: ALC-01, ALC-02

Definition: Resident of an assisted living community.

Question: Number of residents who were a resident of the community on the last day of the month?

Format: Length: 3

Type: Numeric

Occurs: 1

Allowable Values:

Number 0-199 or UTD



Collect denominator:

ALC-02 Gather a list of ALL Residents on the last day of the month

- The initial population = the denominator
- Add the number of residents and put the count in the total population and denominator fields

*	Initial Populations (Initial Populations = Denominators)		Initial Populations (Initial Populations = Denominators)	Measures	Measures	Measures	Measures
Counts	Total Re	sidents	New Residents	ALC-01 Off- Label Antipsychotic Drug Use	ALC-02 Resident Falls	ALC-03 Resident Preferences and Goals of	ALC-04 Advanced Care Plan/ Surrogate Decision Maker
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
Total Population				*	*	*	*
Denominator				*	*	*	*
Numerator		*	*				
* These cells intentionally left blank.							



Step 4:

Collect numerator:

Collect data for the measure in the numerator population



Numerator Statement: Residents who fall and are transferred to the hospital, per month.

Included Populations: Not applicable

Excluded Populations: Not applicable

Data Elements:

Fall With Transfer to Hospital

Name: Fall With Transfer to Hospital

Collected For: ALC-02

Definition: Residents who fall and are transferred to the hospital, per month.

Question: Did the resident experience a fall and were they transferred to the hospital?

Format: Length: 1

Type: Alphanumeric

Occurs: 1

Allowable Values:

Y (Yes) The resident experienced a fall and was transferred to the hospital.

N (No) The resident experienced a fall but was not transferred to the hospital or the resident did not experience a fall and was not transferred to

the hospital.

Notes for Abstraction: • Abstract 'Yes' for any resident that falls and is transferred to the hospital, even if they are not admitted to the hospital.



Collect data for the measure in the numerator population:

- Numerator Data Element "Resident Falls" – Did the resident experience a fall and were they transferred to the hospital?
- Add the number of residents for which you answered yes and put it in the Numerator field

*	Initial Populations (Initial Populations = Denominators)	Initial Populations (Initial Populations = Denominators)	Measures	Measures	Measures	Measures
Counts	Total Residents	New Residents	ALC-01 Off- Label Antipsychotic Drug Use	ALC-02 Resident Falls	ALC-03 Resident Preferences and Goals of	ALC-04 Advanced Care Plan/ Surrogate Decision Maker
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total			*	*	*	*
Population						
Denominator			*	*	*	*
Numerator	*	*				
* These cells intentionally left blank.				•		



Step 5:

Enter Data into DDSP:

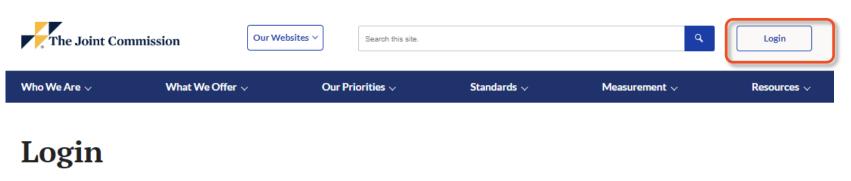
Example: You abstract cases for the month of January. On January 31st there are 10 residents living at the assisted living community. Your denominator will be 10. When you look back through the 10 resident's records, there was 1 resident that experienced a fall. Your numerator will be 1.

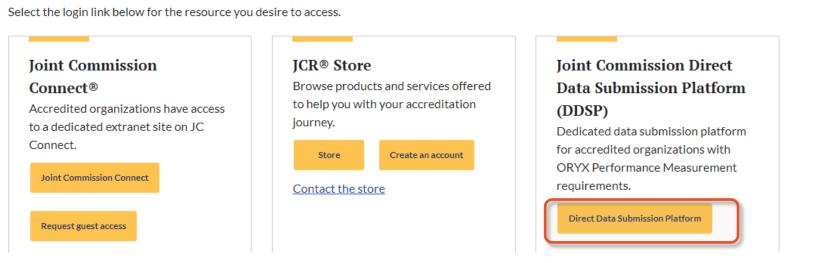
You enter in DDSP: Denominator = 10; Numerator = 1

*	Initial Populations (Initial Populations = Denominators)		Initial Populations (Initial Populations = Denominators)	Measures	Measures	Measures	Measures
Counts	Total F	Residents	New Residents	ALC-01 Off- Label Antipsychotic Drug Use	ALC-02 Resident Falls	ALC-03 Resident Preferences and Goals of	ALC-04 Advanced Care Plan/ Surrogate Decision Maker
1		a			x		
2		b	X			X	x
3		С		x			
4		d					
5		e					
6		f	X	X		X	
7		g					
8		h	X			X	X
9		i					
10		j					
Total				*	*	*	*
Population		10	3		*	•	*
Denominator		10	3	*	*	*	*
Numerator		*	*	2	. 1	3	2
* These cells intentionally left blank.							



Login to DDSP from The Joint Commission website:

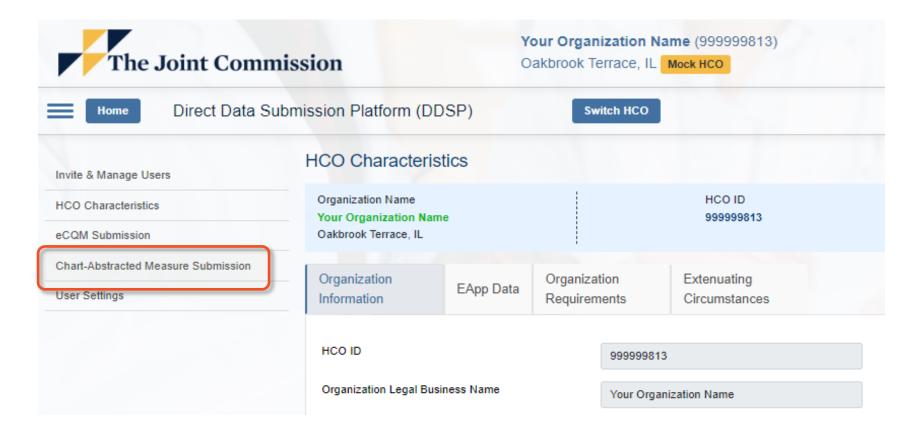






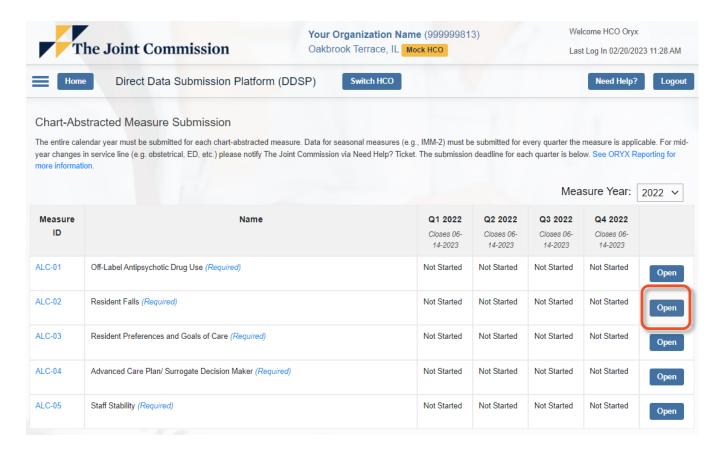
From home page select:

Chart-Abstracted Measure Submission





In the Measure List page: select the 'Open' button for the measure to open the measure form





ALC-02 form opens

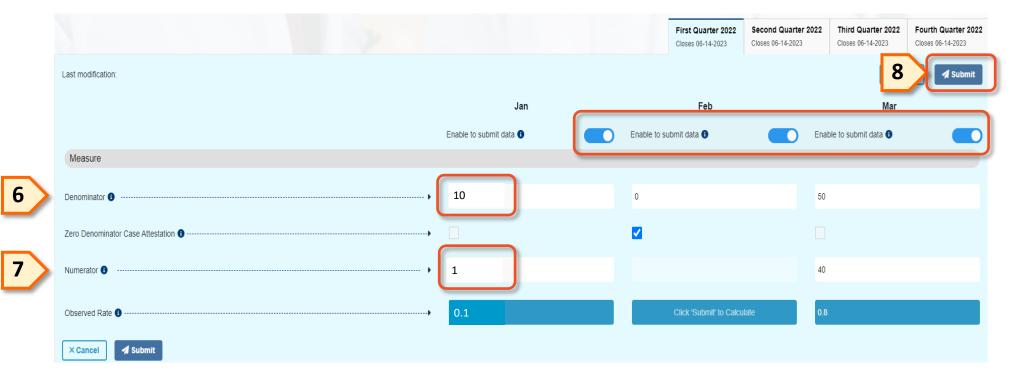
- 1. Measure Name & Details
- 2. Quarter Tab
- 3. Month of quarter
- 4. Select "Edit"
- 5. Enable





Enter Collected Data, then Submit

- 6. Denominator
- 7. Numerator
- 8. Submit

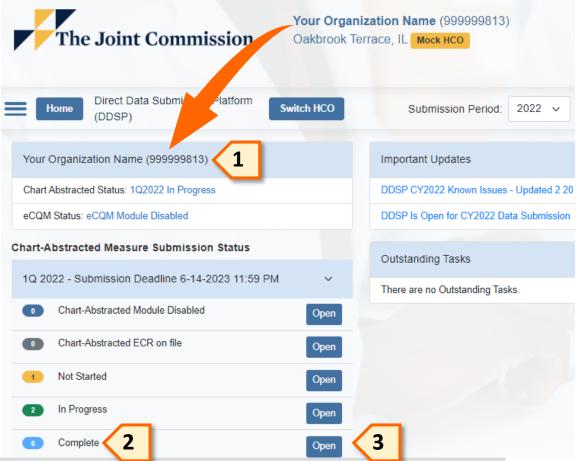




CAM: Submission Status

-Home Page CAM Submission status:

- 1. The status of the active ALC is displayed under "status"
- 2. The Status for all ALCs the user has access to is displayed when clicking "Open" from the table below
- 3. The CAM Submission report for each ALC can be downloaded





Website Navigation for ALC-02 Measure



Transcript - Video Short for ALC-2: Assisted Living Community Advanced Care Plan or Surrogate Decision Maker March 2023

Hello and welcome to the video short for Assisted Living Community. ALC-02 Resident Falls.

Let's start with some basics. There are five steps for Abstracting and Submitting your ALC measure data to The Joint Commission.

First, determine which quarter of data you will be submitting. Next, you'll open the Specification Manual associated with the dates you'll be abstracting. Next, collect data for the measures in the denominator and then the numerator populations as defined in the Specification Manual. And finally enter your data into the DDSP platform.

Let's take a closer look at each step. The first step for data abstraction is to determine which quarter of data you are abstracting for. Data for all four quarters, 12 months during a reporting year must be collected for the ALC Chart Abstracted measures. Let's say you're going to submit data on Q1, 2022. You would need to abstract the measures for each month in the quarter, January, February, and March.

For step two, refer to the Associated Specification Manual. You will need to find the links to the Chart Abstracted manuals in The Joint Commission website. The ALC Manual is found by going to the manual home page, then selecting Assisted Living Community. This page has all the ALC information, ORYX Requirements, Keys, Communications, Resources, Specifications and Webinars. On this page you will click on the plus sign next to the Specification Manuals. This will open the section and you can click on The Joint Commission Specification Manuals.

Now you are on the Chart Abstracted Measure Specification Manual Home page. Select the Assisted Living Community Manual in the middle. At the bottom of this page is a link to an ALC Abstraction template. This template is optional but can assist in abstractor in tracking Numerator and Denominator counts. There will also be a link to the Data Entry Guide for Chart Abstracted measures, which is a reference for submitting your data Choose the Specification Manual for the applicable quarter of data you are abstracting. Click "View web version" and the specifications for each of the five ALC measures will be available along with additional information for your Abstraction needs. Here is a view of the Table of Contents in the Specification Manual. Since we are abstracting for the ALC-02 measure, you would select ALC-02 to view the specifications.

Step three would be to use the Measure Specifications to collect the denominator for the measure using the denominator data element. Assisted community living resident in the Specification Manual for ALC-02, you need to collect the number of residents who are a resident of the community on the last day of the month. Here is a screenshot of the template which can be downloaded from the manual home page. As you can see, you would count the total number of residents who resided in the community on the last day of the month and enter this number as the total population number in the Denominator for ALC-02.

Step four in the Abstraction process is to collect the data for the measure in the numerator population. Using the ALC to measure information form in the Specification Manual you'll see the data element for the numerator is fall with transfer to hospital. When you open the data element link, you are to answer the question Did the resident experience a fall and were they transferred to the hospital? Under Notes for Abstraction, there is an instruction to abstract "Yes" for any resident that falls and is transferred to the hospital, even if they are not admitted to the hospital. Using the Abstraction template you would mark which residents you answered "Yes" to and enter that number in the numerator field.

Finally, step five is to enter the data into DDSP. In this example, you are abstracting cases for the month of January. On January 31st, there are ten residents living at the Assisted Living Community. Your Denominator will be ten. When you look back through the ten residents records, there was one resident that experienced a fall. Your Numerator will be one. Log into the system via the Login page on The Joint Commission website. From the Homepage, please select Chart Abstracted Measure Submission. Find the measure you are submitting data on. For our example, you would select ALC-02. Click open on the right-hand side of the screen.

On the submission form you will see the Header with the Measure Name & Details. You can select the tab for the quarter you are submitting data for. On the Quarterly Submission tab you see the months in the quarter. Click "Edit" to allow for data entry. When the form is in edit mode, a submit button replaces the clear button. However, after you submit the data, the clear button becomes enabled so you can clear your data to reenter if needed. Before entering the data in the Denominator and Numerator fields, you need to use the toggle button under the month for which you are submitting to activate the fields. The Enable toggle button allows data entry for each month, and in case there are errors in other months, you can still enter and submit data one month at a time. As you can see, for the month of January in quarter one, 2022, the Denominator was ten and the Numerator was one. The DDSP automatically calculates the observed rate shown in blue. Click Submit. On DDSP the Homepage. You will see the status of the organization's measure submission. If multiple organizations are under one system and data is submitted by a single user, the user can click open and see the status of measures for each individual organization.

Finally, a submission report can be downloaded by clicking on the link for download Submission report. Now we will show you the Measure Specification Manual as it relates to abstracting ALC-02 on the Manual's homepage, click on the Assisted Living Community Manual. As you can see, the ALC Abstraction manual template is available for download on this page. Select the manual associated with the dates being abstracted. Click View: Web Version.

From the table of contents page, you can see the measures. Additional sections for information on using the manual and release notes for the updated manuals. Click on ALC-02. Here you see the Measure Information Form for Measure Abstraction, you want to see the Denominator and Numerator sections. As you can see here, the Denominator statement is Assisted Living Community Resident. As you can see here, the Denominator statement is the number of residents who resided in the community on the last day of the month. The Data Element is to provide guidance on Abstraction is called Assisted Living Community Resident. Click on this Data Element. The Data Element page lists the name, the measures which it is collected for the definition and question for Abstraction. It also provides the allowable values you need to answer the question.

For the data element, Assisted Living Community Resident The allowable value would be a number between 0 and 199 or UTD unable to determine.

Back on the measure information form, you will now look to the Numerator statement, which is the number of residents that experienced a fall and were transferred to the hospital. The data element for the Numerator is Fall with Transfer to Hospital. Click on the data element and you'll see that you are to answer the question, "Did the resident experience a fall and were they transferred to the hospital?" The allowable values are Y for Yes. The resident experienced a fall and was transferred to the hospital. Or N for No. The resident experienced a fall but was not transferred to the hospital or the resident did not experience a fall and was not transferred to the hospital. Under the notes for Abstraction, there is an instruction to abstract Yes, for any resident that falls and is transferred to the hospital, even if they are not admitted to the hospital.

Now that you have the Denominator Numerator counts, you can enter the data in the template if you opted to use this tool and also into DDSP.

For more information on the DDSP platform, see the ALC Onboarding Webinar video or the video shorts. Links are located on the ALC Confluence Page, which is available on the ALC web page under Resources and FAQs.